

# on Electronics

DOI:10.1587/transele.2021ECS6002

Publicized:2021/06/07

This advance publication article will be replaced by the finalized version after proofreading.

A PUBLICATION OF THE ELECTRONICS SOCIETY



The Institute of Electronics, Information and Communication Engineers Kikai-Shinko-Kaikan Bldg., 5-8, Shibakoen 3chome, Minato-ku, TOKYO, 105-0011 JAPAN

# BRIEF PAPER Experimental demonstration of a hard-type oscillator using a resonant tunneling diode and its comparison with a soft-type oscillator

Koichi MAEZAWA<sup>†a)</sup>, Senior Member, Tatsuo ITO<sup>†</sup>, and Masayuki MORI<sup>†</sup>, Nonmembers

**SUMMARY** A hard-type oscillator is defined as an oscillator having stable fixed points within a stable limit cycle. For resonant tunneling diode (RTD) oscillators, using hard-type configuration has a significant advantage that it can suppress spurious oscillations in a bias line. We have fabricated hard-type oscillators using an InGaAs-based RTD, and demonstrated a proper operation. Furthermore, the oscillating properties have been compared with a soft-type oscillator having a same parameters. It has been demonstrated that the same level of the phase noise can be obtained with a much smaller power consumption of approximately 1/20.

**key words:** resonant tunneling diode, oscillator, hard-type oscillator, phase noise, spurious oscillation

#### 1. Introduction

Recently, there has been an increasing interest in THz wave technology for various applications such as wireless communication, sensors [1]–[3], etc. This leads to attention to THz oscillators. Among them resonant tunneling diode (RTD) oscillators attract a great deal of attention as high performance signal sources [4]–[8]. The RTD's negative differential resistance (NDR) is a basis for simple oscillators. The oscillation frequency of the RTD oscillators has been continuously increasing in this decade and now it exceeds 1.9 THz [9]–[11]. Applications to wireless communication have been also investigated using RTD oscillators [12]–[14].

However, there are still some important issues for practical applications, since the RTD is a 2-terminal device. Among them spurious oscillations in the bias line is one of the most important issues [15]. We have recently proposed to use "Hard-type" oscillator concept to overcome this issue [16]–[20]. In this paper, we report on basic operation of the hard-type oscillator fabricated with an InGaAs-based RTD, and also discuss their stability compared with a softtype oscillator fabricated with same parameters.

## 2. Hard-type oscillator circuit

The hard-type oscillators are defined as the oscillators having stable fixed points within a stable limit cycle [21]. This means that no self-excitation of the oscillation occurs. This is advantageous to avoid spurious oscillation if we add a

 $\overset{V_{b} \quad L_{b}}{\overset{L_{b}}{\longrightarrow}} \overset{RTD}{\overset{RTD}{\longrightarrow}} \overset{RTD}{\overset{\Gamma}{=}} \overset{\Gamma}{=} \overset{\Gamma}{\overset{\Gamma}{=}} \overset{\Gamma}{\overset{\Gamma}{=}} \overset{\Gamma}{\overset{\Gamma}{=}} \overset{C_{c}}{\overset{\Gamma}{=}} \overset{C_{c}}{\overset{C_{c}}{\overset{\Gamma}{=}} \overset{C_{c}}{\overset{C_{c}}{\overset{\Gamma}{=}} \overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{=}}} \overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{\overset{C_{c}}{=}}} \overset{C_{c}}{\overset{C}}{\overset{C_{c}}{\overset{C}}{\overset{C_{c}}{\overset{C_{c}}{\overset{C$ 

Fig. 1 Hard-type oscillator using an RTD with a capacitor-coupled trigger input.

Table 1	Circuit parameters
---------	--------------------

1	
Rb	30Ω
$C_{ m b}$	10 pF
$C_{ m r}$	10 pF
$C_{ m c}$	1 pF
RTD Area	$20 \mu \text{m}^2$
RTD Peak Current Density	$1 \times 10^5 \text{ A/}\mu\text{m}^2$
Microstrip Line	50Ω, 4.5mm

trigger circuit to excite only the desired oscillation.

Figure 1 shows the hard-type oscillator we investigate here. It is a simple RTD oscillator whose resonator is consisting of a capacitor,  $C_r$ , and a transmission line. It has a series resistor,  $R_b$ , in the bias line, which hides the NDR of the RTD from the bias line. The  $L_b$  is a parasitic inductance in the bias line.

The resistor,  $R_b$ , suppresses the spurious oscillation in the bias line, however, it also prevents the RTD being biased in the NDR region. Therefore, no oscillation begins when the voltage corresponding to the NDR region is applied. To excite oscillation a trigger pulse should be applied to the resonator. For this purpose, various types of the trigger circuit have been proposed, which use a high electron mobility transistor (HEMT) [16], a Schottky diode [18], [19], or a capacitor [20]. Here, we chose a most simple solution, the capacitor-coupled trigger.

A voltage pulse to the trigger input pushes the oscillation node voltage apart from the stable fixed points, and makes the oscillation begin. When the oscillation occurs, the capacitor,  $C_{\rm b}$ , stabilizes the bias terminal.

Simple circuits were fabricated using an InGaAs-based RTD on a printed circuit board (PCB) to demonstrate the basic operation. The RTD was fabricated with standard photo lithography and liftoff process. The details were shown in the reference [22]. The RTD was connected by wire bond-

<sup>&</sup>lt;sup>†</sup>The author is with the Faculty of Engineering, University of Toyama, 3190 Gofuku, Toyama 930-8555, Japan.

a) E-mail: maezawa@ieee.org



**Fig. 2** Output spectra of the hard-type oscillator. a) Before triggering, b) Under periodic pulse application, c) After triggering, d) Magnified view of the oscillation peak. *x*-axis: a), b), c) 0 to 3GHz with 300MHz/div, d) 100 kHz/div, *y*-axis: 10 dB/div.

ing, and 1005-type (mm) chip devices were used for the capacitors and resistors. To eliminate the effects of bonding wires and for ease of the measurement, relatively low resonant frequency of 1 GHz was chosen. Circuit parameters are shown in Table 1. We think that the results discussed in this paper is also valid for higher frequency oscillators, because the same equivalent circuit well describes the operation of the oscillators at THz frequency range [10]. For the circuit configuration, we employed a microstrip transmission line instead of an inductor for future higher frequency experiments. The equivalent inductance was about 1.4 nH at 1 GHz. From this value the characteristic impedance of the resonator is calculated to be 12  $\Omega$ , which is defined as  $\sqrt{(L/C)}$ . This small impedance value ensures harmonic oscillation [23], and it is similar to those calculated from the parameters reported for THz oscillators [24], [25]. A softtype oscillator having a parallel stabilizing resistor of  $5\Omega$  in place of the series resistor was also fabricated with the same parameters for comparison.

### 3. Results and discussion

First, we tested the basic operation of the hard-type oscillator. The current-voltage characteristics of the bias terminal show a large hysteresis due to the series connected bias line resistor of 30  $\Omega$ . This hysteresis disables the RTD to be biased in the NDR region.

The measured spectra of the hard-type oscillator are shown in Fig. 2. As shown in a), no oscillation was observed even though the bias voltage corresponding to the hysteresis region was applied to the circuit.

Then we applied periodic pulse signal to the circuit. The pulse height and width were 800 mV and 1 ns respectively, with 35 ps rise and fall times. The repetition rate was set to 100 MHz. Fig. b) shows the spectrum while the pulses are fed. A strong peak at the resonant frequency was observed among broad and noisy signals. This peak remains after the pulse signal input was stopped as shown in Fig. c), which indicates the circuit is in the oscillation state. Once the oscillation begins, stable oscillation persists while the



**Fig.3** Minimum trigger pulse height required for oscillator excitation as a function of the pulse width.

bias voltage is in the hysteresis region. Figure 2 d) shows the magnified view of the spectrum. The oscillation peak is sharp, and no spurious oscillation was observed. This stable oscillation can be obtained with a small current of approximately 10 mA, which corresponds to the power consumption of 12 to 13 mW. It is noted here that the periodic pulses in Fig. 2 (b) are not necessary and a single-pulse can excite the oscillator. The oscillation spectrum is the same for both conditions. This is a natural consequence of the fact that there is only one limit cycle in this system.

Next, we investigated the trigger pulse condition for proper operation. Figure 3 shows the minimum pulse height required for triggering the oscillation as a function of the pulse width. It has an interesting dependence showing a valley at about 0.5 ns. This pulse width corresponds to the half period of the oscillation. This dependence can be explained as follows. First, current pulse is induced when the pulse voltage rises. This excites the circuit to begin oscillation. Next, when the pulse voltage falls, the current pulse with opposite direction flows. This enhances the excitation if it occurs at the opposite phase of the oscillation, while it suppress the excitation if the phase of the oscillation is the same as the first current pulse. Consequently, the minimum pulse height can be obtained when the pulse width equals to half the oscillation period.

Finally, we compared the properties of the hard-type oscillator with a soft-type oscillator fabricated with the same parameters. The fabricated soft-type oscillator shows stable oscillations at around 0.99 GHz. It consumes much larger power, approximately 210mW. About 95 % of this power was consumed at the stabilization resistor.

Regarding the stability of the oscillators, one of the most important properties is a phase noise [26]–[28], which governs the performance of the communication systems, sensors [29]–[31], etc., using the oscillators. Figure 4 shows the oscillation frequency and the phase noise at the offset frequency of 100 kHz as a function of the bias voltage. The bias voltage region for oscillation are 0.86 to 1.09 V, and 1.16 to 1.31 V for soft- and hard-type oscillators, respectively. Due to the series resistor, the voltage region of the hard-type



**Fig. 4** Oscillation frequency and phase noise of the soft- and hard-type oscillators having the same circuit parameters. The offset frequency of the phase noise is 100 kHz.

oscillator shifts to higher voltages. Both oscillators show relatively small dependence of the oscillation frequency on the bias voltage, which indicates the oscillation is not relaxation mode but harmonic mode [23], [32].

For the soft-type oscillator the phase noise is large at around the smallest voltage, where the oscillator shows slightly unstable behavior with spurious oscillations. This is due to a large negative differential conductance (small NDR) just above the peak voltage, because  $5\Omega$  stabilization resistance is not enough to compensate NDR here. Decreasing the resistance can suppress this instability, however, it increases the power consumption. Except above region, softand hard-type oscillators show almost the same phase noise. It should be noted that the same level of frequency stability can be obtained for the hard-type oscillator even though the power consumption is approximately 1/20.

#### 4. Conclusion

A hard-type oscillator was fabricated with an InGaAs-based RTD. It has a capacitor-coupled trigger input for excite oscillation. Proper triggering and stable oscillation were demonstrated with this circuit. Next, details of triggering operation were investigated, and it was found that the minimum pulse height required for exciting the oscillation can be obtained when the pulse width equals to half the oscillation period. Finally, phase noise property was compared to that of the soft-type oscillator having the same circuit parameters. It was demonstrated that the same phase noise can be obtained with a much smaller power consumption of approximately 1/20.

#### Acknowledgments

The authors thank Mr. Kazuhiko Ueda for his help in fab-

ricating the devices. This work was supported by JSPS KAKENHI Grant Number 18H01495, and the VLSI Design and Education Center (VDEC), the University of Tokyo in collaboration with Keysight Technologies Japan, Ltd. A part of this work was carried out under Cooperative Research Project Program of the Research Institute of Electrical Communication, Tohoku University.

#### References

- [1] D. L. Woolard, W. R. Loerop, M. S. Shur, eds., Terahertz Sensing Technology, World Scientific, New Jersey, 2003.
- [2] K. Sengupta, T. Nagatsuma, and D. M. Mittleman, "Terahertz integrated electronic and hybrid electronic - photonic systems," Nature Electronics, Vol. 1, pp. 622–635, 2018.
- [3] M. Fujishima, S. Amakawa, K. Tkano, K. Katayama, and T. Yoshida, "Terahertz CMOS Design for Low-Power and High-Speed Wireless Communication," IEICE Trans. Electron., Vol. E-98-C, pp. 1091-1104, 2015.
- [4] S. Suzuki, M. Asada, A. Teranishi, H. Sugiyama, and H. Yokoyama, "Fundamental oscillation of resonant tunneling diodes above 1 THz at room temperature," Appl. Phys. Lett., vol. 97, p. 242102, Dec. 2010.
- [5] M. Feiginov, C. Sydlo, O. Cojocari, and P. Meissner, "Resonanttunnelling-diode oscillators operating at frequencies above 1.1 THz," Appl. Phys. Lett., vol. 99, p. 233506, Dec. 2011.
- [6] Y. Koyama, R. Sekiguchi, and T. Ouchi, "Oscillations up to 1.40 THz from Resonant-Tunneling-Diode-Based Oscillators with Integrated Patch Antennas," Appl. Phys. Exp., vol. 6, 064102, 2013.
- [7] J. Wang, K. Alharbi, A. Ofiare, H. Zhou, A. Khalid, D. Cumming and E. Wasige, "High Performance Resonant Tunneling Diode Oscillators for THz Applications," Compound Semiconductor Integrated Circuit Symposium (CSICS), 2015, DOI: 10.1109/CSICS.2015.7314509.
- [8] N. Okumura, K. Asakawa, and M. Suhara, "Relaxation Oscillation in a Resonant Tunneling Diode with a Bow-Tie Angenna," IEICE Trans. Electron., Vol. E100-C, No. 5, pp. 430-438.
- [9] T. Maekawa, H. Kanaya, S. Suzuki, and M. Asada, "Oscillations up to 1.92 THz in resonant tunneling diode by reduced conduction loss," Appl. Phys. Exp., vol. 9, 024101, 2016.
- [10] M. Asada and S. Suzuki, "Room-Temperature Oscillation of Resonant Tunneling Diodes close to 2 THz and Their Functions for Various Applications," J. Infrared Milli Terahz Waves, DOI 10.1007/s10762-016-0321-6, 2016.
- [11] R. Izumi, S. Suzuki, and M. Asada, "1.98 THz Resonant-Tunneling-Doide Oscillator With Reduced Conduction Loss By Thick Antenna Electrode," Int. Conf. Infrared, Millimeter, and Terahertz waves (IRMMW-THz), MA3.1, Cancun, Mexico, Aug. 2017.
- [12] T. Shiode, T. Mukai, M. Kawamura, and T. Nagatsuma, "Giga-bit wireless communication at 300 GHz using resonant tunneling diode detector," Proc. Asia-Pacific Microwave Conference (APMC2011), 2011.
- [13] N Oshima, K Hashimoto, S Suzuki, M. Asada, "Terahertz Wireless Data Transmission With Frequency and Polarization Division Multiplexing Using Resonant-Tunneling-Diode Oscillators," IEEE Trans. on Terahertz Sci. and Tech., DOI: 10.1109/TTHZ.2017.2720470.
- [14] N. Oshima, K. Hashimoto, S. Suzuki, M. Asada, "Wireless data transmission of 34 Gbit/s at a 500-GHz range using resonant-tunnellingdiode terahertz oscillator," IET Electron. Lett., p. 1897-1898, DOI: 10.1049/el.2016.3120, 2016.
- [15] C. Kidner, I. Mehdi, J.R. East, G.I. Haddad, "Power and stability limitations of resonant tunneling diodes," IEEE Trans. Microwave Theory and Tech., Vol. 38, pp. 864-872 1990.
- [16] K. Maezawa and M. Mori, "Possibilities of Large Voltage Swing Hard-Type Oscillators Based on Series-Connected Resonant Tunneling Diodes," IEICE Trans. Electon., Vol.E101-C, No. 5, pp. 305-310,

2018.

- [17] K. Narahara and K. Maezawa, "Characterization of a hard-type oscillator using series-connected tunnel diodes," IEICE Electron. Express, Vol. 15, No. 10, pp. 1-6, 2018. DOI: 10.1587/elex.15.20180355
- [18] K. Maezawa, M. Yoshida, and M. Mori, "Resonant tunneling hardtype oscillators having a Schottky diode trigger circuit for stable and large voltage swing operation," Workshop on Compound Semiconductor Devices and Integrated Circuits Held in Europe (WOCSDICE 2018), Bucharest, Romania, 14-16 May 2018.
- [19] K. Maezawa, M. Yoshida, and M. Mori, "Operating mechanism and voltage swing enhancement of the hard-type oscillators based on series-connected RTDs," Progress In Electromagnetics Research Symposium (PIERS 2018), Toyama, Japan, Aug. 2018.
- [20] T. Ito, K. Maezawa, and M. Mori, "Spurious Free Oscillations of the Resonant Tunneling Hard-Type Oscillators Having a Simple Capacitor Coupled Trigger Input," 13th Topical Workshop on Heterostructure Microelectronics (TWHM2019), Toyama, Japan, Aug. 2019.
- [21] T. Endo, "Hisenkei Kairo (Nonlinear Circuits), " Corona publishing, Tokyo, 2004, *in Japanese*.
- [22] K. Maezawa, T. Iwase, Y. Ohno, S. Kishimoto, T. Mizutani, K. Sano, M. Takakusaki, and H. Nakata, "Metamorphic Resonant Tunneling Diodes and Its Application to Chaos Generator ICs," Jpn. J. Appl. Phys., Vol. 44, No. 7A, pp. 4790-4794, 2005.
- [23] T. Tajika, Y. Kakutani, M. Mori, and K. Maezawa, "Experimental demonstration of strain detection using resonant tunneling deltasigma modulation sensors," Phys. Status Solidi, A 214, No. 3, 1600548, 2016. DOI: 10.1002/pssa.201600548.
- [24] K. Kobayashi, S. Suzuki, F. Han, H. Tanaka, H. Fujikata, and M. Asada, "Analysis of a high-power resonant-tunneling-diode terahertz oscillator integrated with a rectangular cavity resonator," Jpn. J. Appl. Phys., Vol. 59, 050907, 2020. DOI: 10.35848/1347-4065/ab8b40.
- [25] H. Kanaya, S. Suzuki, and M. Asada, "Terahertz oscillation of resonant tunneling diodes with deep and thin quantum wells," IEICE Electron. Express, Vol. 10, pp. 1-7, 2013. DOI: 10.1587/elex.10.20130501.
- [26] E. Rubiola, Phase noise and frequency stability in oscillators, Cambridge University Press, Cambridge, 2009.
- [27] D. B. Leeson, "A simple model of feedback oscillator noise spectrum," Proc. IEEE, pp. 329-330, 1966.
- [28] D. B. Leeson, "Oscillator Phase Noise: A 50-Year Review," IEEE Trans. Ultrasonics, Ferroelectrics and Freq. Cont., Vol. 63, pp. 1208-1225, 2015.
- [29] K. Maezawa, M. Sakou, W. Matsubara and T. Mizutani, "Resonant tunneling delta-sigma modulator suitable for high-speed operation," Electron. Lett. 42, 20063215, 2006.
- [30] K. Maezawa, T. Ito, M. Mori, "Delta-sigma modulation microphone sensors employing a resonant tunneling diode with a suspended microstrip resonator," Sensor Review, Vol.40, No.5, pp.535-542, 2020. DOI: 10.1108/SR-03-2020-0044.
- [31] K. Maezawa, and M. Mori, "Effects of oscillator phase noise on frequency delta sigma modulators with a high oversampling ratio for sensor applications," accepted for publication in IEICE Trans. Electron., Vol. E104-C, No.9, 2020. DOI: 10.1587/transele.2020ECS6026.
- [32] K. Maezawa and M. Mori, "Impulse Sensitivity Function Study of the Phase Noise in Resonant Tunneling Diode Oscillators," Asia-Pacific Workshop on Fund. and Appl. Semicond. Dev. (AWAD2016), Hakodate, Japan. 2016.